**Panel 2:  Ratemaking 101 and Introduction to the Electric System and Basics Regarding Rate Components and Types of Rates**

**General Information:**

* Regulatory Authorities
	+ NYPSC – New York Public Service Commission: [www.dps.ny.gov](http://www.dps.ny.gov)
	+ NJBPU – New Jersey Board of Public Utilities: <http://www.bpu.state.nj.us/>
	+ FERC – Federal Energy Regulatory Commission: <https://www.ferc.gov/>
* Governing Law in New York
	+ [*Public Service Law*](http://public.leginfo.state.ny.us/lawssrch.cgi?NVLWO:)(PSL)
	+ [*New York Code of Rules and Regulations (16 NYCRR)*](http://www.dos.ny.gov/info/nycrr.html)
	+ PSC Orders (Post 1996 orders are available at [*www.dps.ny.gov*](http://www.dps.ny.gov/))

**Materials Cited in Panel 2 Presentations:**

* + Tariffs:
		- ConEd Tariffs: [*CECONY*](http://www.coned.com/rates/)
		- Orange and Rockland Tariffs: [*O&R*](http://www.oru.com/aboutoru/tariffsandregulatorydocuments/)
* Case Law regarding Regulatory Risk
	+ Fair return to investors:
		- *FPC v. Hope Natural Gas, 320 US 591 (1944)* <https://supreme.justia.com/cases/federal/us/320/591/>
		- *Bluefield Waterworks v. PSC, 262 US 679 (1923)*

<https://supreme.justia.com/cases/federal/us/262/679/>

* + Standard of review:
		- Substantial evidence (reasonable basis in the record) *(Campo v. Feinberg, 279 A.D. 302, aff’d 303 NY 995 (1952))* <https://www.leagle.com/decision/1952581279ad3021499.xml>
		- Rational basis (not arbitrary or capricious) *(New York State Council of Retail Merchants v. PSC, 45 NY2d 661 (1978))* <https://law.justia.com/cases/new-york/court-of-appeals/1978/45-n-y-2d-661-0.html>
		- PSC afforded deference *(e.g., Abrams v. PSC, 67 NY2d 205 (1986))*

<https://www.leagle.com/decision/198627267ny2d2051248>

Rate Cases on the PSC Docket:

(1) Electric RDM mechanism *(Case 03-E-0640)* All filings available at: [*http://documents.dps.ny.gov/public/MatterManagement/CaseMaster.aspx?MatterSeq=24638&MNO=03-E-0640*](http://documents.dps.ny.gov/public/MatterManagement/CaseMaster.aspx?MatterSeq=24638&MNO=03-E-0640)

(a) Order Instituting Proceeding: [http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={319F8B00-6620-426C-8BA2-3FFE0A6EDD1B}](http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7b319F8B00-6620-426C-8BA2-3FFE0A6EDD1B%7d)

(b) Order Requiring Proposals for Revenue Decoupling Mechanisms: [http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={52C027C8-9BB6-41F3-B7A7-C858002CA080}](http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7b52C027C8-9BB6-41F3-B7A7-C858002CA080%7d)

(2) Gas “Revenue Per Customer” mechanism *(Case 06-G-0746)* All filings available at: <http://documents.dps.ny.gov/public/MatterManagement/CaseMaster.aspx?MatterSeq=29665&MNO=06-G-0746>

(a) Order Instituting Proceeding: [http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={A9242381-A4D1-405A-9492-A989B6FECFA1}](http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7bA9242381-A4D1-405A-9492-A989B6FECFA1%7d)

(b) Order Requiring Proposals for Revenue Decoupling Mechanisms: [http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId={C466483E-E5BA-441F-9CB8-9D722AD9350F}](http://documents.dps.ny.gov/public/Common/ViewDoc.aspx?DocRefId=%7bC466483E-E5BA-441F-9CB8-9D722AD9350F%7d)